Receipt date: 09/13/2006

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Aref Jallouli et al.

Serial No.: 10/540,176

Filed: June 17, 2005

For: SPIROTETRATHIOCARBAMATES AND

SPIROOXOTHIOCARBAMATES

Group Art Unit: 2872

Examiner: Unknown

Atty. Dkt. No.: ESSR:094US

CERTIFICATE OF ELECTRONIC SUBMISSION

DATE OF SUBMISSION: September 13, 2006

#### INFORMATION DISCLOSURE STATEMENT

MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Receipt date: 09/13/2006

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/ESSR:094US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

September 13, 2006

Form PTO-1449 (modified)		Atty. Docket No.	Serial No.
		ESSR:094US	10/540,176
List of Patents and Publications for Applicant's		Applicant	
		Aref Jallouli et al.	
Information Disclosure S	TATEMENT		
		Filing Date:	Group:
(Use several sheets if necessary)		June 17, 2005	Unknown
U.S. Patent Documents	Foreign 1	Patent Documents	Other Art
See Page 1	See Page I		See Page 1

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Clas s	Sub Class	Filing Date of App.
	A1	3,525,752	08/25/70	D'Amico et al.	260	327	02/05/68
	A2	3,652,256	03/28/72	D'Amico	70	90	06/20/66
	A3	3,787,370	01/22/74	Shima et al.	260	75	03/14/72
	A4	4,810,812	03/07/89	Matsuda et al.	558	251	12/18/87
	A5	5,021,541	06/04/91	Masumoto et al.	528	196	02/15/90
	A6	5,403,938	04/04/95	Ohkubo et al.	549	22	06/08/93
	A7	6,794,471	09/21/04	Ohkuma et al.	526	256	09/24/01

## **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Language
	Bi	EP 1193264	9/24/01	Europe	English
	B2	JP 05105684	4/27/93	Japan	Japanese (English Abstract)
•	В3	WO 02/051911	12/20/01	WIPO	English
	B4	WO 02/057339	1/11/02	WIPO	English

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Barbero et al., "Convenient procedure for converting 1,3-dithiolane-2-thiones into 1,3-dithiolan-2-ones," J. Chem Soc, Perkin Trans 1, pp. 289-294.
	C2	Coffen, "Transesterification of Orthothiocarbonates (1)," J. Heterocycl. Chem., 1970, 7, 201.
	C3	Moszner et al., "Polymerization of cyclic monomers, 1 Radical polymerization of unsaturated spiro orthocarbonates," Macromol. Rapid Commun. 16, 667-672 (1995).

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Form PTO-1449 (modified)		Atty. Docket No.	Serial No.
· ·		ESSR:094US	10/540,176
List of Patents and Publications for Applicant's		Applicant	
		Aref Jallouli et al.	
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(Use several sheets if necessary)		June 17, 2005	Unknown
U.S. Patent Documents	Foreign 1	Patent Documents	Other Art
See Page 1		See Page 1	See Page 1

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation			
C4	C4	Oremus <i>et al.</i> , "145. 1,3-Oxathiolan-Synthese: Spirocyclische, 1,3-Oxathiolane aus der <i>Lewis</i> -Säure-katalysierten Umsetzung von cyclischen trithiocarbonaten and Oxiranen," Helvetica Chimica Acta, Vol. 74 (1991)			
	C5	Tagoshi and Endo, "Syntheses of Polyurcthanes Containing a Spiroorthocarbonate Moiety in the Main Chain," Macromolecules 1989, 22, 3834-3838.			
	C6	Takata and Endo, "A New Class of Polymerizable Sulfur Heterocycles. Cationic Ring-Opening Polymerization of Spiro Tetrathioorthocarbonate," Macromolecules 1988, 21, 2314.			
	C7	Takata et al., "Cationic Ring-Opening Polymerizations of Five-Membered Spiro Orthocarbonates: Unsubstituted and 2,8-Diaryl-1,4,6,9-tetraoxaspiro[4.4]nonanes," Macromolecules 25 (1992).			

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